



Abducted by an alien circus company, Professor Doyle is forced to write calculus equations in center ring.

## Ideal Multiplication in Fields of Low Degree A Preliminary Report



## Alf van der Poorten ceNTRe for Number Theory Research, Sydney

#### To Richard Brent on his 60th birthday

Computing by the Numbers Berlin, July 21, 2006

'My take' is only little more than an explanation of sorts of Dan Shanks's infrastructural composition, see

Daniel Shanks, 'On Gauss and composition', in *Number Theory* and Applications, (NATO – Advanced Study Institute, Banff, 1988) Kluwer Academic Publishers Dordrecht, 1989, 163–204.

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I first looked at a cubic analogue of these notions late in the past milennium, and again in 2001, then jointly with Renate Scheidler. Inappropriately, we looked at composition of ternary cubic forms.



The product of two quadratic forms  $\varphi = UX^2 + VXY + WY^2$  and  $\varphi' = U'X'^2 + V'X'Y' + W'Y'^2$  is a nasty expression

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Suppose, however, that it happens to happen that there is a bilinear substitution

$$x = A_x X X' + B_x X Y' + C_x X' Y + D_x Y Y'$$
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Then we have a much more palatable "product" and, moreover, we may then report that the form  $\Phi$  is a compound of the given forms  $\varphi$  and  $\varphi'$ .



All of this should be completely familiar.



 $(X^{2} + Y^{2})({X'}^{2} + {Y'}^{2}) = x^{2} + y^{2}$  with x = XX' - YY', y = XY + X'Y,





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$$(2X^{2} + 2XY + 3Y^{2})(2X'^{2} + 2XY' + 3Y'^{2})$$
  
=  $(2XX' + XY' + X'Y - 2YY')^{2} + 5(XY' + XY' + YY')^{2}$ .



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=  $(2XX' + XY' + XY' + X'Y - 2YY')^{2} + 5(XY' + XY' + YY')^{2}.$ 

In other words,  $x^2 + 5y^2$  is a compound of  $2x^2 + 2xy + 3y^2$  with itself.



If  $M = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , I write  $\Phi_M(x, y) = \Phi(ax + by, cx + dy)$ , and recall that the discriminant of  $\Phi_M$  is  $(\det M)^2$  times that of  $\Phi$ .



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Now notice that

 $x = (A_x X' + B_x Y') X + (C_x X' + D_x Y') Y = (A_x X + C_x Y) X' + (B_x X + D_x Y) Y'$  $y = (A_y X' + B_y Y') X + (C_y X' + D_y Y') y = (A_y X + C_y Y) X' + (B_y X + D_y Y) Y'.$ 



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Hence we have the identities

$$\varphi(X,Y)\varphi'(X',Y') = \Phi(x,y) = \Phi_{\begin{pmatrix} A_xX'+B_xY' & C_xX'+D_xY' \\ Ax'+By' & Cx'+Dy' \end{pmatrix}}(X,Y)$$

and

$$\varphi(X,Y)\varphi'(X',Y') = \Phi(x,y) = \Phi_{\begin{pmatrix}A_xX+C_xY & B_xX+D_xY\\A_yX+C_yY & B_yX+D_yY\end{pmatrix}}(X',Y'),$$

illustrating first that  $\varphi$  and  $\varphi'$  each have discriminant a square of a rational times that of  $\Phi$ .





$$\varphi'(x',y')^{2} = \begin{vmatrix} A_{x}X' + B_{x}Y' & C_{x}X' + D_{x}Y' \\ A_{y}X' + B_{y}Y' & C_{y}X' + D_{y}Y' \end{vmatrix}^{2}$$

and

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and
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and

therewith, defining composition.





First, given  $\varphi$  and  $\varphi'$ , it may not be entirely obvious just how, or how best, to determine a 2 by 4 magic matrix  $\begin{pmatrix} A_x & B_x & C_x & D_x \\ A_y & B_y & C_y & D_y \end{pmatrix}$ .





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 $\Phi(x,y) = (B_y C_y - A_y D_y) x^2$ +  $((A_x D_y - B_x C_y) - (A_y D_x - B_y C_x)) xy$ +  $(B_x C_x - A_x D_x) y^2$ 

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Composition of forms

Richard Brent 60 July 21, 2006 7

Now set 
$$\varphi(x,y) = Q(x-\alpha y)(x-\overline{\alpha}y)$$
,  $\varphi'(x,y) = Q'(x-\alpha' y)(x-\overline{\alpha}' y)$ .

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Now set  $\varphi(x,y) = Q(x - \alpha y)(x - \overline{\alpha} y)$ ,  $\varphi'(x,y) = Q'(x - \alpha' y)(x - \overline{\alpha}' y)$ . Then  $\omega^2 - T\omega + N = 0$ , and an intelligent look at the product  $G(X - \alpha Y)(X' - \alpha' Y') = (x - \alpha'' y) =$  $(A_x X X' + B_x X Y' + C_x X' Y + D_x Y Y') - \alpha'' (A_y X X' + B_y X Y' + C_y X' Y + D_y Y Y')$ ,

readily reveals the magic matrix  $M(\varphi, \varphi')$  to be

$$\begin{pmatrix} A_x & B_x & C_x & D_x \\ A_y & B_y & C_y & D_y \end{pmatrix} = \begin{pmatrix} G & B & C & D \\ 0 & Q/G & Q'/G & -(P+P'+T)/G \end{pmatrix},$$



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Now set  $\varphi(x,y) = Q(x - \alpha y)(x - \overline{\alpha} y)$ ,  $\varphi'(x,y) = Q'(x - \alpha' y)(x - \overline{\alpha}' y)$ . Then  $\omega^2 - T\omega + N = 0$ , and an intelligent look at the product  $G(X - \alpha Y)(X' - \alpha' Y') = (x - \alpha'' y) =$  $(A_x X X' + B_x X Y' + C_x X' Y + D_x Y Y') - \alpha'' (A_y X X' + B_y X Y' + C_y X' Y + D_y Y Y')$ ,

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with

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Here *B* and *C* are obtained from BQ' - CQ = G(P - P') and the Euclidean algorithm; that yields *D*, or one obtains it similarly.

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$$\begin{vmatrix} A_x x + B_x y & C_x x + D_x y \\ A_y x + B_y y & C_y x + D_y y \end{vmatrix} \text{ and } \begin{vmatrix} A_x x + C_x y & A_y x + C_y y \\ B_x x + D_x y & B_y x + D_y y \end{vmatrix}$$



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may be viewed simply as pairs of opposite faces

 $(A_x B_x A_y B_y, C_x D_x C_y D_y)$  and  $(A_x C_x B_x D_x, A_y C_y B_x D_x)$ 

of a cube.



$$\begin{array}{c|c} A_x x + B_x y & C_x x + D_x y \\ A_y x + B_y y & C_y x + D_y y \end{array} \quad \text{and} \quad \begin{array}{c|c} A_x x + C_x y & A_y x + C_y y \\ B_x x + D_x y & B_y x + D_y y \end{array}$$

may be viewed simply as pairs of opposite faces

 $(A_x B_x A_y B_y, C_x D_x C_y D_y)$  and  $(A_x C_x B_x D_x, A_y C_y B_x D_x)$ 

of a cube. Then the third pair  $(A_x A_y B_x B_y, C_x C_y B_x D_x D_y)$  of opposite faces corresponds to the form

$$\begin{array}{cc} A_x x + A_y y & C_x x + C_y y \\ B_x x + B_y y & D_x x + D_y y \end{array}$$

and, this is the point



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and, this is the point, the "cube law", which sets the compound of these three forms to be the trivial form  $(x - \omega y)(x - \overline{\omega}y)$  of their common discriminant, naturally defines a compounding of forms.





One readily de-forms the remarks above by noting that composition immediately provides a rule for multiplying ideals presented as  $\mathbb{Z}$ -modules.

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$$qG(Qx - (\omega + P)y)(Q'x' - (\omega + P')y') = QQ'(qX - (\omega + p)Y),$$







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if  $q(x - \alpha''y)(x - \overline{\alpha}''y)$  is the composite of the two given forms  $Q(x - \alpha y)(x - \overline{\alpha} y)$  and  $Q'(x - \alpha' y)(x - \overline{\alpha}' y)$ .

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Note that the 'infrastructural composition' I detail is well defined on forms or ideals whereas compounding is well defined only on equivalence classes of forms, or ideals.



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By happy chance, that reduction process also appears in general to find the 'nearest' reduced form (a matter of issue in the real = indefinite case) apparently because that process reduces to the 'previous' reduced form.





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Bhargava explains that the correct box is  $2 \times 3 \times 3$ , thus a pair (A, B) of  $3 \times 3$  matrices, and that the unique  $SL_3(\mathbb{Z}) \times SL_3(\mathbb{Z})$  invariant is a cubic form

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$$f(x,y) = ax^3 + bx^2y + cxy^2 + dx^3 = \det(Ax - By).$$

Hence the unique  $\Gamma = \operatorname{GL}_2(\mathbb{Z}) \times \operatorname{SL}_3(\mathbb{Z}) \times \operatorname{SL}_3(\mathbb{Z})$  invariant is the discriminant  $\operatorname{Disc}(f)$  of f.





Specifically, given a cubic ring R (a ring free of rank 3 as a  $\mathbb{Z}$ -module), take  $(1, \omega, \theta)$  as a Z-basis for R. A 'normal' such basis has  $\omega \cdot \theta \in \mathbb{Z}$  and one may define seven integers  $a, \ldots, n$  by setting

$$\omega heta = n$$
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Then the associative law relations  $\omega\theta \cdot \theta = \omega \cdot \theta^2$  and  $\omega^2 \cdot \theta = \omega\theta \cdot \theta$ yield as unique solution

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and it follows that a binary cubic form det(Ax - By) leads to a unique cubic ring. Moreover, a  $GL_2(\mathbb{Z})$  transformation of the basis  $(\omega, \theta)$  of R/Z (and a subsequent renormalisation) transforms f(x, y) by the same transformation.



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The explicit correspondence asks one to write  $I = \langle \alpha_1, \alpha_2, \alpha_3 \rangle_{\mathbb{Z}}$ ,  $I' = \langle \alpha'_1, \alpha'_2, \alpha'_3 \rangle_{\mathbb{Z}}$  and, recalling  $II' \subseteq R = \langle 1, \omega, \theta \rangle$ , to compute all the  $\alpha_i \alpha'_j = c_{ij} + a_{ij}\omega + b_{ij}\theta$ . Then  $A = (a_{ij})$ ,  $B = (b_{ij})$  will do.



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$$(A,B) = \left( \begin{bmatrix} & & 1 \\ & -a \\ 1 & & -c \end{bmatrix}, \begin{bmatrix} & 1 \\ 1 & b \\ & & d \end{bmatrix} \right)$$



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Manjul delightedly shows that, eventually, the R-module structure of the I, respectively I', given by the correspondence is explicitly given in terms of determinants made from the columns, respectively rows of A and B, instanced by

$$-\omega \cdot \alpha_{1} = |B_{1}A_{2}A_{3}| \cdot \alpha_{1} + |A_{1}B_{1}A_{3}| \cdot \alpha_{2} + |A_{1}A_{2}B_{1}| \cdot \alpha_{3}$$
  
$$-\omega \cdot \alpha_{2} = |B_{2}A_{2}A_{3}| \cdot \alpha_{1} + |A_{1}B_{2}A_{3}| \cdot \alpha_{2} + |A_{1}A_{2}B_{2}| \cdot \alpha_{3}$$
  
$$-\omega \cdot \alpha_{3} = |B_{3}A_{2}A_{3}| \cdot \alpha_{1} + |A_{1}B_{3}A_{3}| \cdot \alpha_{2} + |A_{1}A_{2}B_{3}| \cdot \alpha_{3}$$

 $-\theta \cdot \alpha_{1} = |A_{1}B_{2}B_{3}| \cdot \alpha_{1} + |B_{1}A_{1}B_{3}| \cdot \alpha_{2} + |B_{1}B_{2}A_{1}| \cdot \alpha_{3}$  $-\theta \cdot \alpha_{2} = |A_{2}B_{2}B_{3}| \cdot \alpha_{1} + |B_{1}A_{2}B_{3}| \cdot \alpha_{2} + |B_{1}B_{2}A_{2}| \cdot \alpha_{3}$  $-\theta \cdot \alpha_{3} = |A_{3}B_{2}B_{3}| \cdot \alpha_{1} + |B_{1}A_{3}B_{3}| \cdot \alpha_{2} + |B_{1}B_{2}A_{3}| \cdot \alpha_{3}$ 



# **Composition**

Ultimately, composition is defined in terms of multiplication of ideal pairs (I, I'). Bhargava presses the analogy:



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In the case of binary quadratic forms, the unique  $SL_2(\mathbb{Z})$ -invariant is the discriminant D, which classifies orders in quadratic fields. The primitive classes having a fixed value of D form a group under a certain natural composition law. This group is naturally isomorphic to the narrow class group of the corresponding quadratic order.



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In the case of  $2 \times 3 \times 3$  integer boxes, the unique SL<sub>3</sub>(Z) × SL<sub>3</sub>(Z)-invariant is the cubic form f, which classifies orders in cubic fields. The projective classes having a fixed value of f form a group under a certain natural composition law. This group is naturally isomorphic to the ideal class group of the corresponding cubic order.





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- 'The density of discriminants of quartic rings and fields', Ann. of Math. (2) **162** (2005), no. 2, 1031–1063.

